## Selected Findings <br> The current survey results show a slight increase since 2001 in the percentage reporting the council-manager form, as well as a slight decrease in the percentage reporting the mayor-council form.

The percentage of municipalities that reported a position for a CAO, often titled "city manager" or "chief administrative officer," has increased four percentage points since 2001.

Only larger cities, those with a population of 250,000 and above, show a majority of cities reporting term limits for council members.

Evelina R. Moulder<br>ICMA

ICMA has been conducting a survey on municipal form of government for many years. The longitudinal data that emerge allow researchers to compare over time the changes in the form and structure of city government. Sometimes the changes are noticeable, such as the increase in chief appointed official (CAO) positions in all forms of government, and sometimes they are more nuanced, such as the characteristics that point to a loosening of the boundaries of traditional forms of government. This year ICMA adds another set of data to extend the picture of these and other developing trends.

## SURVEY METHODOLOGY

The Municipal Form of Government, 2006 survey was mailed in August 2006 to all municipalities with a population of 2,500 and over and to those under 2,500 that are in the ICMA database (there are thousands of municipalities under 2,500 in population). A second mail was sent to those municipalities that did not respond to the first mail. The final response rate was $47 \%$ (Table $5 / 1$ ), which is lower than in previous years. Anecdotal information suggests that local governments are inundated with surveys and have become less likely to respond.

## DEFINITIONS AND FINDINGS

The Municipal Form of Government, 2006 survey used the following definitions for the five forms of government:

- Mayor-council: An elected council or board serves as the legislative body. The chief elected official (CEO) is the head of government, with significant administrative authority, generally elected separately from the council.
- Council-manager: An elected council or board and CEO (e.g., mayor) are responsible for making policy with advice of the CAO. A professional administrator appointed by the board or council has full responsibility for the day-today operations of the government.
- Commission: Members of a board of elected commissioners serve as heads of specific departments and collectively sit as the legislative body of the government.

Table 5/1 SURVEY RESPONSE

| Classification | No. of municipalities ${ }^{1}$ surveyed (A) | No. responding |  |
| :---: | :---: | :---: | :---: |
|  |  | No. | \% of (A) |
| Total | 8,278 | 3,864 | 47 |
| Population group |  |  |  |
| Over 1,000,000. | 9 | 2 | 22 |
| 500,000-1,000,000. . | 23 | 9 | 39 |
| 250,000-499,999 | 36 | 17 | 47 |
| 100,000-249,999 | 179 | 100 | 56 |
| 50,000-99,999 | 408 | 227 | 56 |
| 25,000-49,999 | 780 | 424 | 54 |
| 10,000-24,999 | 1,826 | 883 | 48 |
| 5,000-9,999 | 1,889 | 868 | 46 |
| 2,500-4,999 | 2,011 | 839 | 42 |
| Under 2,500 | 1,117 | 495 | 44 |
| Geographic region |  |  |  |
| Northeast | 2,155 | 807 | 37 |
| North-Central | 2,463 | 1,227 | 50 |
| South | 2,415 | 1,120 | 46 |
| West | 1,245 | 710 | 57 |
| Geographic division |  |  |  |
| New England | 845 | 401 | 48 |
| Mid-Atlantic. | 1,310 | 406 | 31 |
| East North-Central . . | 1,573 | 748 | 48 |
| West North-Central. . | 890 | 479 | 54 |
| South Atlantic . | 1,099 | 575 | 52 |
| East South-Central . | 494 | 170 | 34 |
| West South-Central | 823 | 376 | 46 |
| Mountain. | 470 | 266 | 57 |
| Pacific Coast. . . . . . . | 774 | 443 | 57 |
| Metro status |  |  |  |
| Central | 540 | 283 | 52 |
| Suburban | 4,949 | 2,361 | 48 |
| Independent | 2,789 | 1,220 | 44 |

- Town meeting: Qualified voters convene to make basic policy and to choose a board of selectmen. The selectmen and elected officers carry out the policies established by the government.
- Representative town meeting: Voters select citizens to represent them at the town meeting. All citizens may attend and participate in debate, but only representatives may vote.

Among municipalities, the council-manager and mayor-council forms of government are the most prevalent, reported by $55 \%$ and $34 \%$ of respondents, respectively (Table $5 / 2$ ). The town meeting, a form of government found especially in New England, was reported by 5\% overall and by
$49 \%$ of New England municipalities. Less than 1\% reported the commission or representative town meeting form of government.

Included on Table $5 / 2$ is a column for those local governments that did not report their form of government. Although their answers to some of the other questions on the survey may suggest a particular form of government, any assumptions that might be drawn would be unreliable.

When compared with the forms of government reported in the 2001 survey, the current results show a slight increase in the percentage reporting the council-manager form, as well as a slight decrease in the percentage reporting the mayorcouncil form. In 2001, $53 \%$ reported the councilmanager form and $38 \%$, the mayor-council form of government. ${ }^{1}$

Only 11 of the 32 municipalities with a population of 500,000 and over responded to the survey, and one of them did not answer the form-of-government question, so for larger cities, it is not possible to draw conclusions about the relationship between population size and form of government. Among cities with a population of 5,000-249,999, however, the majority reported the council-manager form of government, as did a bare majority of those under 2,500 in population. As previously noted, there are thousands of municipalities with a population below 2,500 ; because ICMA's database contains only a small proportion of those jurisdictions, the data on them cannot be considered reflective of that cohort.

According to a majority of respondents overall $(57 \%)$ and all cities with a population of 500,000 and above, their form of government is established in the charter (Figure 5/1).

## Position of Chief Appointed Official

The percentage of municipalities that reported a position for a CAO, often titled "city manager" or "chief administrative officer," has increased four percentage points since 2001. ${ }^{2}$ As shown in Table $5 / 3$, there are some variations by population, geographic division, and form of government.
In the majority of cities that have a CAO $(68 \%)$, the official is appointed by the council (Table 5/4), although again there are variations by geographic division and form of government. In particular, mayor-council and commission localities show the

Table 5/2 MUNICIPAL FORM OF GOVERNMENT

| Classification | No. reporting (A) | Mayorcouncil \% of (A) | Councilmanager \% of (A) | $\begin{aligned} & \text { Commission } \\ & \% \text { of (A) } \end{aligned}$ | Town meeting \% of (A) | Representative town meeting \% of (A) | Did not report \% of (A) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Total. . | 3,864 | 34 | 55 | 1 | 5 | 1 | 4 |
| Population group |  |  |  |  |  |  |  |
| Over 1,000,000. | 2 | 0 | 100 | 0 | 0 | 0 | 0 |
| 500,000-1,000,000 | 9 | 44 | 44 | 0 | 0 | 0 | 11 |
| 250,000-499,999 . | 17 | 65 | 35 | 0 | 0 | 0 | 0 |
| 100,000-249,999 | 100 | 27 | 70 | 0 | 0 | 0 | 3 |
| 50,000-99,999 . | 227 | 26 | 67 | 1 | * | 1 | 5 |
| 25,000-49,999 . | 424 | 31 | 63 | 1 | 1 | 1 | 4 |
| 10,000-24,999 . | 883 | 29 | 59 | 1 | 5 | 1 | 4 |
| 5,000-9,999 . | 868 | 33 | 54 | 1 | 7 | 1 | 4 |
| 2,500-4,999 . | 839 | 43 | 44 | 1 | 7 | 1 | 5 |
| Under 2,500 | 495 | 39 | 50 | * | 5 | 0 | 6 |
| Geographic division |  |  |  |  |  |  |  |
| New England . . . | 401 | 11 | 29 | * | 49 | 4 | 7 |
| Mid-Atlantic. | 406 | 46 | 42 | 4 | 0 | 1 | 8 |
| East North-Central . | 748 | 42 | 50 | 1 | * | 1 | 5 |
| West North-Central. | 479 | 52 | 44 | 1 | 0 | 0 | 3 |
| South Atlantic. . | 575 | 23 | 73 | 1 | 0 | 0 | 4 |
| East South-Central. | 170 | 67 | 28 | 1 | 0 | 0 | 5 |
| West South-Central | 376 | 29 | 69 | * | 0 | 0 | 2 |
| Mountain. | 266 | 40 | 58 | 0 | 0 | 0 | 3 |
| Pacific Coast | 443 | 17 | 80 | 0 | 0 | 0 | 3 |
| Metro status |  |  |  |  |  |  |  |
| Central . . | 283 | 35 | 60 | * | 0 | 0 | 4 |
| Suburban | 2,360 | 32 | 55 | 1 | 5 | 1 | 5 |
| Independent. . | 1,220 | 38 | 52 | 1 | 6 | * | 3 |

Note: Percentages may not total $100 \%$ because of rounding.
${ }^{*}=$ Less than $0.5 \%$.


Figure 5/1 Authority for establishing form of government
lowest percentages reporting appointment solely by the council and the highest percentages showing appointment involving the elected official.

## Provision for Initiative, Referenda, and Recall

There are various provisions that allow citizens or the council to introduce items on a ballot. These
provisions are initiative, referenda, and recall (Table 5/5).

Initiative Through an initiative, citizens can place charter, ordinance, or home rule changes on the ballot by collecting the required number of signatures on a petition. There are three types of initiatives: indirect, direct, and nonbinding. The indirect provision requires that before any charter,

Table 5/3 POSITION OF CHIEF APPOINTED OFFICIAL

| Classification | No.reporting (A) | Yes |  |
| :---: | :---: | :---: | :---: |
|  |  | No. | \% of (A) |
| Total. | 3,788 | 3,216 | 85 |
| Population group |  |  |  |
| Over 1,000,000 | 2 | 2 | 100 |
| 500,000-1,000,000 | 9 | 6 | 67 |
| 250,000-499,999. | 17 | 12 | 71 |
| 100,000-249,999. | 99 | 89 | 90 |
| 50,000-99,999 | 223 | 192 | 86 |
| 25,000-49,999 | 418 | 358 | 86 |
| 10,000-24,999 | 866 | 758 | 88 |
| 5,000-9,999 | 851 | 725 | 85 |
| 2,500-4,999 | 824 | 661 | 80 |
| Under 2,500 | 479 | 413 | 86 |
| Geographic division |  |  |  |
| New England | 398 | 319 | 80 |
| Mid-Atlantic | 393 | 314 | 80 |
| East North-Central . | 731 | 571 | 78 |
| West North-Central | 469 | 422 | 90 |
| South Atlantic . | 565 | 540 | 96 |
| East South-Central. | 165 | 94 | 57 |
| West South-Central | 371 | 313 | 84 |
| Mountain | 262 | 224 | 86 |
| Pacific Coast | 434 | 419 | 97 |
| Metro status |  |  |  |
| Central | 277 | 222 | 80 |
| Suburban | 2,310 | 2,005 | 87 |
| Independent | 1,201 | 989 | 82 |
| Form of government |  |  |  |
| Did not report. | 106 | 86 | 81 |
| Mayor-council. | 1,319 | 834 | 63 |
| Council-manager | 2,106 | 2,099 | 100 |
| Commission. | 35 | 22 | 63 |
| Town meeting. | 194 | 154 | 79 |
| Representative town meeting | 28 | 21 | 75 |

ordinance, or home rule change that has been proposed by citizens through a petition process can be placed on the ballot, the council must consider it. Vote results are then binding on the local government. In contrast, the direct initiative requires that any change proposed by the citizens through a petition process be placed directly on the ballot for a vote. The direct initiative can be nonbinding, in which case citizens can place a question on the ballot for voter approval or rejection, but implementation of the initiative is not binding on the local government.

A majority of municipalities (58\%) reported providing for initiatives, although population size seems to influence the prevalence of this option (Table 5/6). The cities with a population of 500,000 and above all offer citizens this opportunity, but among cities reporting with a population under 5,000 , less than $50 \%$ do. The percentages vary even more by geographic division, as the highest percentages providing for initiatives are cities in the New England (81\%) and Pacific Coast (79\%) divisions, and the lowest are East South-Central division cities ( $26 \%$ ), followed by cities in the South Atlantic and West North-Central divisions ( $38 \%$ and $39 \%$, respectively).

Among forms of government, the town meeting municipalities show the highest percentage providing for initiatives ( $85 \%$ ), followed by the representative town meeting ( $65 \%$ ) and councilmanager cities (62\%).

Legislative Referendum Legislative referendum allows the council to place any question on the

Table 5/4 APPOINTMENT OF CHIEF APPOINTED OFFICIAL

| Classification | No. reporting (A) | Appointed by |  |  | Nominated by |  | $\begin{aligned} & \text { Other } \\ & \text { \% of (A) } \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Chief elected official \% of (A) | Council \% of (A) | Chief elected official and council combined \% of (A) | Chief elected official and approved by council \% of (A) | Council and approved by chief elected official \% of (A) |  |
| Total ..... | 3,159 | 4 | 68 | 19 | 9 | * | 1 |
| Population group |  |  |  |  |  |  |  |
| Over 1,000,000 | 2 | 0 | 50 | 50 | 0 | 0 | 0 |
| 500,000-1,000,000 . | 5 | 20 | 40 | 40 | 0 | 0 | 0 |
| 250,000-499,999. | 12 | 25 | 42 | 8 | 25 | 0 | 0 |
| 100,000-249,999. | 89 | 6 | 63 | 27 | 3 | 0 | 1 |
| 50,000-99,999. . | 188 | 3 | 67 | 23 | 6 | 0 | 0 |
| 25,000-49,999. | 351 | 3 | 69 | 19 | 9 | * | * |
| 10,000-24,999. . | 748 | 4 | 70 | 16 | 10 | * | 1 |
| 5,000-9,999. | 709 | 5 | 69 | 16 | 9 | * | 1 |
| 2,500-4,999. | 651 | 3 | 65 | 21 | 9 | 0 | 1 |
| Under 2,500. | 404 | 3 | 68 | 22 | 7 | * | * |
| Geographic division |  |  |  |  |  |  |  |
| New England | 314 | 7 | 85 | 5 | 1 | 0 | 2 |
| Mid-Atlantic | 300 | 6 | 65 | 14 | 14 | 0 | 1 |
| East North-Central. . | 565 | 5 | 54 | 24 | 16 | * | 1 |
| West North-Central . | 414 | 2 | 62 | 24 | 11 | 1 | 1 |
| South Atlantic. . | 527 | 1 | 74 | 21 | 3 | * | * |
| East South-Central . | 96 | 8 | 51 | 25 | 15 | 0 | 1 |
| West South-Central | 305 | 2 | 71 | 23 | 4 | 0 | 1 |
| Mountain | 225 | 4 | 58 | 24 | 13 | 0 | 1 |
| Pacific Coast | 413 | 3 | 80 | 12 | 4 | 0 | * |
| Metro status |  |  |  |  |  |  |  |
| Central. . | 216 | 7 | 64 | 22 | 7 | 0 | 1 |
| Suburban. | 1,963 | 4 | 67 | 19 | 10 | * | 1 |
| Independent. | 980 | 3 | 71 | 19 | 7 | * | 1 |
| Form of government |  |  |  |  |  |  |  |
| Mayor-council ... | 833 | 10 | 43 | 23 | 21 | * | 2 |
| Council-manager . | 2,049 | 1 | 77 | 19 | 4 | 0 | * |
| Commission. . . . | 22 | 0 | 50 | 27 | 9 | 0 | 14 |
| Town meeting . . . | 150 | 12 | 79 | 6 | 0 | 0 | 3 |
| Representative |  |  |  |  |  |  |  |
| Did not report. . . | 84 | 7 | 73 | 10 | 10 | 0 | 1 |

Note: Percentages may not total $100 \%$ because of rounding.

* $=$ Less than $0.5 \%$.

Table 5/6 PROVISION FOR INITIATIVE AND INITIATIVE PROCESS

| Classification | No. reporting <br> (A) | Has provision for initiative |  | Type of initiative process |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | No. reporting | Indirect | Direct | Nonbinding |
|  |  | No. | \% of (A) | (B) | \% of (B) | \% of (B) | \% of (B) |
| Total. | 3,603 | 2,073 | 58 | 1,902 | 49 | 49 | 15 |
| Population group |  |  |  |  |  |  |  |
| Over 1,000,000 | 2 | 2 | 100 | 2 | 50 | 50 | 0 |
| 500,000-1,000,000 | 9 | 9 | 100 | 9 | 44 | 56 | 22 |
| 250,000-499,999 . | 17 | 15 | 88 | 15 | 33 | 73 | 13 |
| 100,000-249,999 | 97 | 83 | 86 | 71 | 59 | 45 | 13 |
| 50,000-99,999 | 214 | 161 | 75 | 147 | 63 | 44 | 14 |
| 25,000-49,999 | 404 | 284 | 70 | 260 | 50 | 50 | 14 |
| 10,000-24,999 | 823 | 501 | 61 | 462 | 52 | 49 | 15 |
| 5,000-9,999 | 810 | 466 | 58 | 435 | 46 | 48 | 16 |
| 2,500-4,999 | 773 | 368 | 48 | 333 | 43 | 54 | 14 |
| Under 2,500 | 454 | 184 | 41 | 168 | 49 | 48 | 15 |
| Geographic division |  |  |  |  |  |  |  |
| New England | 385 | 313 | 81 | 297 | 45 | 52 | 22 |
| Mid-Atlantic | 363 | 153 | 42 | 134 | 42 | 42 | 38 |
| East North-Central | 692 | 433 | 63 | 401 | 41 | 53 | 21 |
| West North-Central | 446 | 174 | 39 | 161 | 58 | 42 | 6 |
| South Atlantic . | 543 | 204 | 38 | 190 | 58 | 38 | 10 |
| East South-Central. | 149 | 38 | 26 | 35 | 37 | 54 | 14 |
| West South-Central | 350 | 233 | 67 | 210 | 54 | 49 | 6 |
| Mountain | 252 | 190 | 75 | 175 | 57 | 50 | 8 |
| Pacific Coast | 423 | 335 | 79 | 299 | 53 | 57 | 8 |
| Form of government |  |  |  |  |  |  |  |
| Mayor-council. . | 1,222 | 566 | 46 | 516 | 46 | 48 | 21 |
| Council-manager | 2,034 | 1,263 | 62 | 1,163 | 54 | 48 | 10 |
| Commission. | 34 | 17 | 50 | 15 | 27 | 60 | 27 |
| Town meeting. | 188 | 159 | 85 | 148 | 36 | 57 | 26 |
| Representative |  |  |  |  |  |  |  |
| Did not report. . | 99 | 51 | 52 | 46 | 37 | 61 | 20 |

Table 5/5 PROVISION FOR INITIATIVE,
REFERENDA, AND RECALL

| Provision | No. reporting <br> (A) | Has provision \% of (A) |
| :---: | :---: | :---: |
| Initiative | 3,603 | 58 |
| Legislative referendum. | 3,497 | 76 |
| Popular referendum | 3,189 | 45 |
| Recall . | 3,311 | 60 |

ballot for voter approval or rejection. The results may be binding or nonbinding. Slightly more than $75 \%$ of municipalities reported a provision for legislative referenda, with population size seeming to influence the responses. Among population groups, for example, the larger the group, the higher the percentage reporting this provision. All cities reporting with a population of 500,000 and above offer legislative referenda compared with $68 \%$ of those with a population under 2,500 (not shown). Among geographic divisions, close to $60 \%$ of cities in the East South-Central division do not have legislative referenda, compared with $24 \%$ overall. Among forms of government, the town meeting and council-manager forms show the highest percentages offering this type of provision ( $88 \%$ and $79 \%$, respectively).

For those municipalities that reported providing for a legislative referendum, the survey included a follow-up question to determine which items must be placed on the ballot. Figure $5 / 2$ shows the percentage reporting each item. Bond measures and charter amendments were reported by the highest percentages ( $67 \%$ and $56 \%$, respectively), with the percentage reporting bond measures increasing among the smaller local governments (not shown). The East South-Central and MidAtlantic divisions show the lowest percentages indicating that bond measures must be placed on the ballot for voter approval; the council-manager and town meeting forms of government show the highest percentages, followed by mayor-council cities. There is more variation by population and geographic division regarding charter amendments, with no discernable pattern emerging.

Popular Referendum Popular referendum allows citizens to collect signatures on a petition to place on the ballot any charter, ordinance, or home rule change that has been adopted by the local government before the change can take effect. Approximately $45 \%$ of municipalities reported this option for citizens, with cities in larger population groups showing higher percentages than smaller cities. Among geographic divisions, there seems to be a greater tendency in the East and West to make this option available than in the central part of the country, although the Mid-Atlantic division cities show a relatively low percentage reporting it (Figure 5/3). Among forms of government, the town meeting cities show the highest percentage reporting popular referendum ( $67 \%$ ), followed by council-manager cities (48\%) (not shown).

Recall Recall allows citizens to collect signatures for a petition to place on the ballot a question of whether an elected official should be removed


Figure 5/2 Items that must be placed on the ballot for voter approval or rejection


Figure 5/3 Popular referendum provided on the ballot, by geographic division
from office before his or her term has expired. Sixty percent of municipalities reported a provision for recall, with the highest percentages seen among larger local governments. Among the geographic divisions, Pacific Coast cities show the highest percentage by far reporting this provision ( $90 \%$ ), followed by the Mountain and West South-Central divisions at $78 \%$ (not shown).
Among forms of government, the councilmanager localities show the highest percentage with a provision for recall at $67 \%$, followed by cities with the commission form ( $55 \%$ ); however, only 31 cities with the commission form answered the question (not shown). Mayor-council cities show $52 \%$ with a provision for recall.

Change in Structure or Form of Government With the various opportunities for citizens to place items on the ballot, it is not surprising that some changes in either the structure or form of local government were introduced between January 2001 and when the survey was conducted in 2006. Table $5 / 7$ shows the attempted changes in structure or form of government reported on the survey and results of the attempt. Among the proposed changes, $50 \%$ or more respondents reported that approval was obtained to increase or decrease the number of council or board members, to change the method of electing the CEO, to decrease the power/authority of the CEO, and to add the position of CAO.
As might be anticipated, the changes in form of government typically involve mayor-council and council-manager localities because these represent the largest proportion of local governments. Overall, 23 cities reported a proposed change in form of government to mayor-council, 5 of which ( $5 \%$ ) reported that the proposals were approved (Table 5/8).
The highest number of municipalities proposing a change in form of government (70) reported a proposed change to the council-manager form; of those, $35(50 \%)$ reported that the proposals were approved.

## The Chief Elected Official

Some local governments, primarily mayor-council cities ( $31 \%$ ), have positions for both a mayor and a council president or board chair (not shown). At least $92 \%$ of all survey respondents with the town meeting and representative town meeting forms of government reported a council president or board chair position, but no more than $8 \%$ reported the position of mayor. Survey respondents were instructed to answer the questions that followed based on the position of mayor if they have one, or on the position of council president or chair if they do not have a mayor.

Election Although in the majority of cities ( $76 \%$ ), voters elect the mayor or council president/ board chair directly, there are some variations by population, geographic division, and form of government (Table 5/9). For instance, in all cities with a population of 250,000 and above, the voters directly elect the CEO. Below that population cutoff, there is not a great deal of variation in the percentages reporting direct election.
When the data are arrayed by geographic division, however, it is noticeable that almost half of the New England cities reported that the council

Table 5/7 PROPOSED CHANGES IN STRUCTURE OR FORM OF GOVERNMENT

| Proposed changes | No. reporting change was proposed <br> (A) | Change was not approved \% of (A) | Change was approved \% of (A) |
| :---: | :---: | :---: | :---: |
| Change.. |  |  |  |
| From at-large to ward or district elections | 38 | 40 | 42 |
| From ward or district to at-large elections | 23 | 39 | 48 |
| To a mixed system with some at-large and some ward or district elections. | 20 | 45 | 45 |
| The mix between the number of council members elected at large and the number elected by ward or district | 19 | 47 | 37 |
| The method of election of the chief elected official. | 33 | 29 | 56 |
| Who appoints the chief appointed official. | 4 | 1 | 0 |
| The form of government. | 118 | 44 | 45 |
| Increase... |  |  |  |
| The number of council or board members | 58 | 35 | 59 |
| The powers/authorities of the chief elected official. | 24 | 50 | 42 |
| Decrease... 50 |  |  |  |
| The number of council or board members | 22 | 36 | 55 |
| The power/authority of the chief elected official . | 27 | 30 | 56 |
| Add the position of chief appointed official (the appointed professional administrator) | 71 | 21 | 72 |
| Eliminate the position of chief appointed official (the appointed professional administrator) | 17 | 53 | 29 |

Note: Not all who reported that the change was proposed answered whether it was approved, which explains why the percentages do not total 100\%.

## Table 5/8 CHANGES IN FORM OF GOVERNMENT: NUMBER OF PROPOSALS

 ATTEMPTED AND APPROVED| Change from | No. reporting | Change to |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Mayorcouncil |  | Councilmanager |  | Commission |  | Town meeting |  | Representative town meeting |  |
|  |  | Att. | App. | Att. | App. | Att. | App. | Att. | App. | Att. | App. |
| Mayor-council. | 55 |  |  | 55 | 25 | 0 | 0 | 0 | 0 | 0 | 0 |
| Council-manager | 20 | 19 | 2 |  |  | 0 | 0 | 1 | 0 | 0 | 0 |
| Commission . | 7 | 1 | 1 | 6 | 5 |  |  | 0 | 0 | 0 | 0 |
| Town meeting. | 8 | 1 | 1 | 7 | 3 | 0 | 0 |  |  | 0 | 0 |
| Representative town meeting | 5 | 2 | 1 | 2 | 2 | 0 | 0 | 1 | 0 |  |  |

Note: Att. = attempted, app. = approved.
Table 5/9 SELECTION OF CHIEF ELECTED OFFICIAL

| Classification | No. reporting (A) | Voters elect \% of (A) | Council selects from among its members | Council member receiving the most votes | Council members rotate into the position \% of (A) | $\begin{aligned} & \text { Other } \\ & \% \text { of (A) } \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Total | 3,629 | 76 | 22 | 1 | 2 | * |
| Population group |  |  |  |  |  |  |
| Over 1,000,000 | 2 | 100 | 0 | 0 | 0 | 0 |
| 500,000-1,000,000 | 8 | 100 | 0 | 0 | 0 | 0 |
| 250,000-499,999. . | 17 | 100 | 0 | 0 | 0 | 0 |
| 100,000-249,999. | 99 | 87 | 11 | 0 | 2 | 0 |
| 50,000-99,999. | 218 | 75 | 23 | 1 | 1 | 0 |
| 25,000-49,999. | 400 | 73 | 25 | 1 | 2 | 0 |
| 10,000-24,999. | 819 | 71 | 27 | * | 2 | * |
| 5,000-9,999. | 816 | 77 | 22 | * | 1 | * |
| 2,500-4,999. | 778 | 79 | 18 | * | 2 | 1 |
| Under 2,500. | 472 | 79 | 19 | 1 | 1 | * |
| Geographic division |  |  |  |  |  |  |
| New England. . | 340 | 44 | 49 | 1 | 5 | 1 |
| Mid-Atlantic | 384 | 66 | 32 | 1 | 1 | * |
| East North-Central. | 706 | 83 | 16 | 1 | * | * |
| West North-Central | 463 | 90 | 10 | * | * | 0 |
| South Atlantic | 550 | 83 | 15 | 1 | 1 | * |
| East South-Central | 159 | 87 | 13 | 0 | 0 | 0 |
| West South-Central | 353 | 89 | 10 | * | 0 | 1 |
| Mountain. | 259 | 88 | 12 | 0 | 0 | * |
| Pacific Coast | 415 | 54 | 40 | 1 | 6 | 0 |
| Form of government |  |  |  |  |  |  |
| Mayor-council ... | 1,262 | 96 | 3 | * | * | * |
| Council-manager . | 1,999 | 67 | 30 | 1 | 2 | * |
| Commission. . | 34 | 56 | 41 | 3 | 0 | 0 |
| Town meeting | 158 | 46 | 46 | 0 | 8 | 1 |
| Representative town meeting | 25 | 44 | 44 | 0 | 12 | 0 |
| Did not report. . . . . . . . . . . . | 151 | 70 | 27 | 2 | 1 | 1 |

[^0]selects the CEO from among its members, as did $40 \%$ of cities in the Pacific Coast division.
In cities with a mayor-council form of government, $96 \%$ reported that voters directly elect the mayor. By contrast, in council-manager cities, $67 \%$ reported that voters directly elect the mayor, while $30 \%$ reported that the council selects the CEO from among its members.

Almost 86\% of local governments reported that the position of CEO is officially part time, although a majority of larger local governments (those with a population of 250,000 and above) indicated that the position is full time (not shown). Ninety-five percent of respondents in council-manager cities reported that the CEO's position is part time, compared with $72 \%$ of respondents in mayorcouncil cities.
Terms of Office A four-year term was reported by the highest percentage of respondents, followed by a two-year term (Table 5/10). Four-year terms were generally reported by higher percentages of larger cities than smaller cities and by $87 \%$ of cities in the East South-Central division (not shown). Council-manager cities show the highest percentage reporting two-year terms ( $41 \%$ ), and mayor-council cities show the highest percentage reporting four-year terms ( $68 \%$ ). The town meeting and representative town meeting local governments show percentages way above the average reporting a one-year term ( $44 \%$ and $52 \%$, respectively).
The vast majority ( $91 \%$ ) of cities do not have legal limits on the number of terms allowed for the position of CEO (not shown); generally, those cities that do have term limits are larger. Where term limits are imposed, the majority (54\%) of cities show a limit of two terms, followed by $28 \%$ reporting three terms.

## Responsibilities and Authority of the <br> Chief Elected Official

Although the distinctions are not consistent across local governments, typically CEOs have varying degrees of responsibility and authority, depending on the form of government.
Serving on the Council and Voting in Meetings The CEO serves on the council in $72 \%$ of reporting cities overall, but noticeably in only $44 \%$ of mayor-council cities (not shown). A slim majority of those cities in which the CEO is on the council reported that the official receives supplemental compensation for the additional duties involved (not shown).
Although $72 \%$ of council-manager and approximately $90 \%$ of commission, town meeting, and representative town meeting local government respondents reported that the CEO can vote on all issues before the council, in only $26 \%$ of mayor-

Table 5/10 LENGTH OF TERM FOR CHIEF ELECTED OFFICIAL

| Length of term | Percentage reporting <br> $(\mathrm{n}=3,361)$ |
| :--- | :---: |
| year $\ldots \ldots \ldots \ldots \ldots$ | 14 |
| 2 years $\ldots \ldots \ldots \ldots \ldots$ | 35 |
| 3 years $\ldots \ldots \ldots \ldots \ldots$ | 6 |
| 4 years $\ldots \ldots \ldots \ldots \ldots$ | 45 |
| Other. $\ldots \ldots \ldots \ldots \ldots$ | 1 |

council cities does the CEO have this authority (Table 5/11). Among mayor-council cities, however, $55 \%$ reported that the CEO is permitted to vote to break a tie while $17 \%$ reported that the CEO is never permitted to vote.

Budget Responsibility Since 1996, there has been a small but steady drop in the percentage of cities reporting that the CEO has the authority to develop and make recommendations for the budget submitted to council-from $13 \%$ in $1996^{3}$ to $12 \%$ in $2001^{4}$ and $11 \%$ in 2006. At the same time, the percentage reporting that the authority lies with the CAO has increased noticeably-from $57 \%$ in 2001 to $65 \%$ in 2006 . As Table $5 / 12$ shows, even among mayor-council and commission forms of government, the CAO has this responsibility more often than the CEO.

The survey also covered several areas of authority of the CEO, including

- Assigning council members to chair or serve on committees
- Appointing citizens to serve on advisory or quasijudicial authorities, boards, or commissions
- Receiving the annual budget developed by the CAO
- Preparing the annual budget
- Making an annual report to the council.

Approximately $75 \%$ of respondents reported that the CEO has the authority to assign council members to chair or serve on committees, and slightly more reported the authority to appoint citizens to serve on advisory or quasi-judicial authorities, boards, or commissions (not shown). However, just $50 \%$ reported that the CEO has the authority to make an annual report to council, and less than a majority ( $42 \%$ ) reported that the CEO is authorized to receive the annual budget.

## Election and Terms of Council Members

Among the questions that ICMA staff are often asked are whether the political party of a candidate for the council is placed on the ballot and whether council members have term limits. The survey results show that while political party is on the ballot in only $20 \%$ of cities reporting overall, it is on the ballot in $87 \%$ of cities in the MidAtlantic geographic division-an anomaly that was reflected in the 2001 survey results as well (not shown).

Elections: At Large and Ward/District Although two-thirds of local government respondents $(66 \%)$ reported that council members are elected at large, cities with a population of 250,000 and above tend to show election by ward, or district, or by a combination of the two methods. Another interesting variation is that cities on the coasts show higher percentages reporting at-large elections than do those in the middle of the country. For example, only $45 \%$ in the West North-Central geographic division reported at-large elections compared with $81 \%$ and $89 \%$ in the New England and Pacific Coast divisions, respectively (not shown).

Approximately $17 \%$ of those reporting indicated that they use of elections by ward/district, and $17 \%$ show a combination of at-large and ward/district elections. The highest percentages reporting elections by ward/district alone are in the West North-

Table 5/11 VOTING AUTHORITY OF CHIEF ELECTED OFFICIAL

| Classification | No. reporting <br> (A) | On all issues \% of (A) | Only to break a tie \% of (A) | Never \% of (A) | Other \% of (A) |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Total | 3,564 | 57 | 34 | 7 | 2 |
| Population group |  |  |  |  |  |
| Over 1,000,000 | 2 | 100 | 0 | 0 | 0 |
| 500,000-1,000,000 | 8 | 50 | 0 | 50 | 0 |
| 250,000-499,999. | 17 | 35 | 6 | 59 | 0 |
| 100,000-249,999. | 99 | 74 | 10 | 14 | 2 |
| 50,000-99,999. | 215 | 71 | 16 | 11 | 2 |
| 25,000-49,999. | 392 | 63 | 23 | 10 | 4 |
| 10,000-24,999. | 807 | 62 | 28 | 9 | 2 |
| 5,000-9,999. | 793 | 56 | 38 | 4 | 1 |
| 2,500-4,999. | 769 | 45 | 49 | 5 | 1 |
| Under 2,500 | 462 | 54 | 41 | 5 | 1 |
| Geographic division |  |  |  |  |  |
| New England | 320 | 78 | 10 | 10 | 2 |
| Mid-Atlantic | 374 | 57 | 32 | 9 | 2 |
| East North-Central . | 685 | 52 | 37 | 9 | 3 |
| West North-Central | 452 | 41 | 41 | 16 | 2 |
| South Atlantic. | 548 | 57 | 39 | 4 | * |
| East South-Central. | 159 | 47 | 40 | 11 | 3 |
| West South-Central | 347 | 46 | 50 | 2 | 1 |
| Mountain | 262 | 54 | 42 | 3 | 1 |
| Pacific Coast | 417 | 81 | 17 | 1 | 1 |
| Form of government |  |  |  |  |  |
| Mayor-council | 1,231 | 26 | 55 | 17 | 3 |
| Council-manager | 1,986 | 72 | 25 | 2 | 1 |
| Commission. | 33 | 88 | 9 | 3 | 0 |
| Town meeting | 143 | 91 | 6 | 2 | 1 |
| Representative town meeting | 22 | 91 | 5 | 5 | 0 |
| Did not respond | 149 | 62 | 33 | 5 | 1 |

Note: Percentages may not total $100 \%$ because of rounding

* $=$ Less than $0.5 \%$.

Table 5/12 AUTHORITY TO DEVELOP AND MAKE RECOMMENDATIONS FOR THE BUDGET SUBMITTED TO THE COUNCIL

| Classification | No. reporting <br> (A) | Chief elected official $\%$ of (A) | Chief appointed official \% of (A) | Chief elected and chief appointed officials, combined \% of (A) | Chief financial \% of (A) | Finance committee \% of (A) | $\begin{gathered} \text { Other } \\ \text { \% of (A) } \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Total. | 3,549 | 11 | 65 | 8 | 10 | 1 | 5 |
| Population group |  |  |  |  |  |  |  |
| Over 1,000,000. | 1 | 0 | 100 | 0 | 0 | 0 | 0 |
| 500,000-1,000,000 | 8 | 50 | 38 | 0 | 0 | 0 | 13 |
| 250,000-499,999 . | 16 | 31 | 44 | 6 | 19 | 0 | 0 |
| 100,000-249,999 . | 96 | 14 | 79 | 5 | 2 | 0 | 0 |
| 50,000-99,999 . | 210 | 12 | 73 | 5 | 7 | 0 | 3 |
| 25,000-49,999 | 395 | 14 | 71 | 4 | 9 | 0 | 2 |
| 10,000-24,999 | 807 | 12 | 67 | 8 | 10 | 1 | 4 |
| 5,000-9,999 | 793 | 10 | 63 | 7 | 12 | 1 | 6 |
| 2,500-4,999 | 766 | 11 | 57 | 11 | 11 | 2 | 8 |
| Under 2,500 | 457 | 7 | 69 | 7 | 8 | 1 | 8 |
| Geographic division |  |  |  |  |  |  |  |
| New England | 363 | 15 | 58 | 8 | 5 | 4 | 10 |
| Mid-Atlantic. | 362 | 12 | 50 | 12 | 18 | 2 | 6 |
| East North-Central | 675 | 13 | 55 | 10 | 15 | 1 | 7 |
| West North-Central. | 451 | 4 | 74 | 7 | 9 | 1 | 6 |
| South Atlantic . | 540 | 6 | 83 | 4 | 5 | 0 | 1 |
| East South-Central . | 157 | 34 | 36 | 12 | 10 | 1 | 8 |
| West South-Central | 350 | 17 | 71 | 7 | 3 | * | 1 |
| Mountain. . | 244 | 8 | 62 | 5 | 14 | * | 11 |
| Pacific Coast | 407 | 6 | 79 | 4 | 10 | 0 | 2 |
| Form of government |  |  |  |  |  |  |  |
| Mayor-council. . | 1,212 | 26 | 34 | 13 | 17 | 2 | 9 |
| Council-manager | 2,007 | 2 | 87 | 3 | 6 | * | 2 |
| Commission | 33 | 3 | 33 | 12 | 30 | 3 | 18 |
| Town meeting. | 176 | 10 | 47 | 14 | 7 | 7 | 16 |
| Representative |  |  |  |  |  |  |  |
| Did not respond | 95 | 12 | 56 | 7 | 13 | 0 | 13 |

Note: Percentages may not total $100 \%$ because of rounding.

* $=$ Less than $0.5 \%$.

Table 5/13 NUMBER OF STANDING COMMITTEES

| Classification | No. reporting <br> (A) | $\begin{gathered} 1-2 \\ \% \text { of (A) } \end{gathered}$ | $\begin{gathered} 3-5 \\ \% \text { of }(\mathrm{A}) \end{gathered}$ | $\begin{gathered} 6-10 \\ \% \text { of (A) } \end{gathered}$ | $\begin{gathered} 11-15 \\ \% \text { of }(A) \end{gathered}$ | More than 15 \% of (A) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Total . . . . . | 1,968 | 17 | 43 | 30 | 6 | 4 |
| Population group |  |  |  |  |  |  |
| Over 1,000,000. | 2 | 0 | 50 | 50 | 0 | 0 |
| 500,000-1,000,000. | 7 | 0 | 14 | 43 | 29 | 14 |
| 250,000-499,999 | 10 | 10 | 30 | 40 | 10 | 10 |
| 100,000-249,999 | 59 | 7 | 39 | 34 | 12 | 9 |
| 50,000-99,999 | 128 | 13 | 34 | 38 | 9 | 6 |
| 25,000-49,999 | 232 | 13 | 36 | 35 | 9 | 7 |
| 10,000-24,999 | 441 | 15 | 40 | 34 | 7 | 4 |
| 5,000-9,999 | 445 | 16 | 47 | 27 | 6 | 4 |
| 2,500-4,999 | 413 | 22 | 46 | 26 | 2 | 3 |
| Under 2,500 | 231 | 22 | 52 | 22 | 4 | * |

Note: Percentages may not total $100 \%$ because of rounding.

* $=$ Less than $0.5 \%$.

Central (34\%) and East South-Central (33\%) divisions.
Terms of Office Regardless of whether council members are elected at large or by ward/district, the majority of respondents reported four-year terms ( $62 \%$ and $65 \%$, respectively) (not shown). Noticeable variation occurs in the New England and the West South-Central divisions, where percentages reporting four-year terms for both at-large and ward/district elections are much lower than those in other divisions. Both of these divisions show the highest percentages reporting two- or three-year terms.
Only $9 \%$ of respondents overall reported a limit on the number of terms that a council member may serve (not shown). Only larger cities-those with a population of 250,000 and above-show a majority of cities reporting term limits for council members. Cities with the council-manager form of government were more likely to report term limits (13\%) than were cities with other forms of government (not shown).
Almost 85\% of those reporting indicated that terms of office are staggered, a practice that provides some continuity. Yet among larger local governments-those with a population of 500,000 and above-the percentage reporting staggered terms is much lower: $46 \%$ (not shown)

## Committees and Citizen Boards

Fifty-four percent of councils often use standing committees-permanent bodies with set memberships and regularly scheduled meetings-to
consider specific policy matters. Population size seems to be a factor in the number of standing committees used, with the number generally increasing among the larger local governments (Table 5/13).

Ninety-five percent of municipalities reported using citizen authorities, boards, or commissions. Virtually all local governments that provided information about the process-whether the members are elected or appointed-indicated that the members are appointed. When the data are viewed by demographic classifications, however, interesting variations emerge: in the population group $500,000-1,000,000$, three of the eight cities reporting indicated that the members are elected, as did $41 \%$ of municipalities in the New England geographic division (not shown). In New England, that may be a function of the town meeting and representative town meetings forms of government, which are more prevalent there. In fact, when the data are presented by form of government, the town meeting and representative town meeting forms show much higher percentages with elected members of citizen groups ( $56 \%$ and $46 \%$, respectively).

The citizen boards or commissions typically serve several functions: the majority of local governments reported that they serve an advisory role $(89 \%)$, but $41 \%$ of respondents reported a decision-making role for them and $31 \%$ reported a quasi-judicial role (not shown). Respondents with the town meeting and representative town meeting forms of government show percentages

Table 5/14 AREAS OF COMMITTEE USE

| Areas of committee use | Percentage reporting $(\mathrm{n}=3,523)$ |
| :---: | :---: |
| Zoning | 86 |
| Planning. | 84 |
| Parks and recreation | 66 |
| Economic development | 41 |
| Libraries . | 41 |
| Historic preservation | 39 |
| Housing | 30 |
| Growth . | 29 |
| Beautification | 27 |
| Code enforcement | 25 |
| Environmental issues. | 22 |
| Transportation | 21 |
| Finance | 20 |
| Architectural review | 19 |
| Airports. | 18 |
| Civil service | 17 |
| Cable TV | 17 |
| Art | 15 |
| Community-police relations | 14 |
| Charter review commissions | 12 |
| Ethics. | 8 |

well above average reporting a decision-making role for these groups.
Table $5 / 14$ shows the functional areas in which citizen groups are used, with planning and zoning reflecting the highest percentages of municipalities reporting use.

## SUMMARY

The 2006 survey results show an increase in the percentage of municipalities reporting a position for a CAO, and the number of proposed and approved changes in form of government from mayor-council to council-manager is noteworthy. Local governments will continue to adapt to community needs, retaining and expanding upon structures that have proven to be successful.

[^1]
[^0]:    Note: Percentages may not total 100\% because of rounding.

    * $=$ Less than $0.5 \%$.

[^1]:    ${ }^{1}$ Susan A. MacManus and Charles S. Bullock, III, "The Form, Structure, and Composition of America's Municipalities in the New Millennium," in The Municipal Year Book 2003 (Washington, D.C.: ICMA, 2003), 6.
    ${ }^{2}$ Ibid., 13.
    ${ }^{3}$ Tari Renner and Victor S. DeSantis, "Municipal Forms of Government: Issues and Trends," in The Municipal Year Book 1998 (Washington, D.C.: ICMA, 1998), 30-41.
    ${ }^{4}$ MacManus and Bullock, "America's Municipalities in the New Millennium," 11.

